IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

RICHARD C. EDEN, et al.

Serial No.: 10/730,963

Filed: December 8, 2003

For: HIGH TEMPERATURE

SUPERCONDUCTING TUNABLE FILTER

Group Art Unit: 2817

Examiner: Benny T. Lee

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97–1.98, information relating to the above–identified application is hereby disclosed. The accompanying Form PTO SB/08A provides a listing of documents that may be relevant to the subject application.

It is requested that the Examiner fully consider the art cited in the accompanying Form PTO SB/08A, initial the left-most column of the form adjacent each cited reference, and return a copy for Applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above, but before the mailing date of either a Final Action under

09/22/2004 NROCHA1 00000003 502862

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CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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§1.113 or a Notice of Allowance under §1.311. Accordingly, the Commissioner is hereby authorized to charge Deposit Account No. 50-2862 for the requisite fee of \$180.00.

In accordance with §1.98(d), copies of some or all of the references listed on the attached Form PTO/SB/08A are not enclosed herewith because they were previously cited by or submitted to the Patent and Trademark Office in prior copending or related applications for which a claim for priority under 35 U.S.C. §120 has been made in the instant application.

Accordingly, Applicants will provide duplicate copies in respect of the present case only if the Examiner so desires.

This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made. Nor does this statement constitute an admission by Applicants or Applicants' agent that the information provided herein is necessarily prior art to Applicants' invention. Moreover, Applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there—against as references. Please charge any deficiency or credit any overpayment to Deposit Account No. 50-2862.

Respectfully submitted,

O'MELVENY & MYERS LLP

Dated:

401518

By:

David B. Murphy Reg. No. 31,125

Attorneys for Applicant

DBM/dnd

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INFORMATION DISCLOSURE

STATEMENT BY ARRIGANT

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of

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Complete if Known			
Application Number	10/730,963		
Filing Date	December 8, 2003		
First Named Inventor	Richard C. Eden, et al.		
Group Art Unit	2817		
Examiner Name	Benny T. Lee		
Attorney Docket Number	0844004-306		

	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication of Cited Document
Examiner Initials *	Number	Kind Code ² (if known)	of Cited Document	MM-DD-YYYY
	US-4912086		Enz et al	03/27/1990
	US-5099162		Sawada	03/24/1992
	US-5126317		Agarwala	06/30/1992
	US-5391543		Higaki et al	02/21/1995
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	US-6049726		Gruenwald et al	04/11/2000
"	US-6347237	B1	Eden et al	02/12/2002
	US-6437965	B1	Adkins et al	08/20/2002
	US-6441449	B1	Xu et al	08/27/2002

Examiner Initials*	Foreign Patent Document					
	Office ³	Number⁴	Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM- DD-YYYY	Т ₆
·	GB	2220526	В	Makimoto et al	04/15/1992	
	JP	63028103	Α	Murata Mfg. Co. Ltd.	02/05/1988	Abstract
	JР	01117648	Α	Epson Corp.	05/10/1989	Abstract
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Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume—issue number(s), publisher, city and/or country where published.	T 2
	AMINOV, et al, "YBaCuO Disk Resonator Filters Operating at High Power", IEEE Transactions on Applied Superconductivity, 9, 2, June 1999.	
	HAMMOND et al, "Epitaxial T1 ₂ CaBa ₂ Cu ₂ O ₈ Thin Films with Low 9.6 GHz Surface Resistance at High Power and Above 77K", Appl. Phys. Lett. 57, 8, August 20, 1990, 825-827.	
	LOW, "Modeling of Three-Layer Piezoelectric Biomorph Beam With Hysteresis", Journal of Microelectromechanical Systems, 4, 4, December 1995, 230-237.	
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	MOECKLEY, et al, "Strontium Titanate Thin Films for Tunable Yba₂Cu₃ 7 Microwave Filters", IEEE Transactions on Applied Superconductivity, 11, 1, March 2001, 450-453.	
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	SOARES, et al, "Optical Switching of HTS Band Reject Resonators", IEEE Transactions on Applied Superconductivity, 5, 2, June 1995, 2276-2278.	
	TERASHIMA, et al, "2HGz Tunable Superconducting Band-Pass Filter Using a Piezoelectric Bender", Physica C, 366, 2002, 183-189.	

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Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume—issue number(s), publisher, city and/or country where published.	T ²
	XU, et al, "Active Tuning of High Frequency Resonators and Filters", IEEE Transactions on Applied Superconductivity, 11, 1, March 2001, 353-356.	

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.